International Compliance Management

ECTS : 3

Volume horaire : 18

Description du contenu de l'enseignement :

The objective of the class is to demonstrate the complexity of laws, regulations, standards and ethical practices that face international companies.
Compliance is polymorphic : CSR regulations, anti-bribery regulations, data protection laws, fight against fraud, anti-money laundering laws…a real complexity for companies.
Compliance management involves identifying, understanding and aligning with the regulatory requirements that apply to company, involving risk assessment, implementation of processes, internal control, training for employees… This course is on Moodle.

- 1 Introduction to compliance management
- 2 Anti bribery context - French regulation : Sapin 2FC (comparison with FCPA)
- 3 CSR & CSRD (EU)
- 4 Duty of care, GDPR and data protection officer
- 5 Fraud risk management
- 6 Stock exchange market regulations : SOX, AMF…
- 7 Case study preparation
- 8 Case study preparation
- 9 Oral presentation (2 groups)
- 10 Oral presentation (2 groups)
- 11 Oral presentation (2 groups)
- 12 Oral presentation (2 groups)

Compétence à acquérir :

By the end of the course, students will be able to:

- Understand the main legal and regulatory frameworks - hard law and soft law- governing international companies (anti-bribery, CSR/CSRD, GDPR, AML, stock-market regulations);
- Compare how compliance rules differ across jurisdictions (EU, US, international standards)understand extra-territoriality of certain regulations;
- Analyze real cases involving sanctions, fraud, corruption, data breaches, and regulatory failures;
- Identify and assess compliance risks and understand how companies implement controls, reporting, training, and communication;
- Develop practical compliance tools (training materials, communication supports, risk awareness actions);
- Deliver a structured and engaging oral presentation on a compliance issue using cases, data, and regulatory guidance.

Mode de contrôle des connaissances :

- Oral presentations in groups - case studies :

- Summarize legal aspects "hard law";
- Find former cases with sanctions & fines, make a press review on the subject + press scandals + research of interesting websites of regulators and recommendations from actors of "soft law";
- Compare companies regulated communication on the subject;
- Prepare communication tools: flyer, training session with quiz to confirm understanding, imagine events…;
- Feel confortable with long oral presentation (45 minutes + Q&A) keeping the attention of the public : nice canva or powerpoint, use of roleplay, films, instant MCQ…

- Complete a MOOC on cybersecurity :Intro to Cyber Security - Online Cyber Security Course - FutureLearn
- Pass UN certificate The Fight against Corruption

The numerical grade distribution will dictate the final grade. MOOC and certificate are subject to validation. Case study provides the only grade. The passing grade for a course is 10/20 + validation of MOOC and UN Certificate.

Attendance

Attendance is mandatory. Students are expected to attend all classes, arrive on time, and stay for the entire session. Repeated absences or lateness may affect the final grade.

Class Participation

Active participation is encouraged, as it contributes to making classes more engaging and instructive. Students are expected to come prepared and contribute thoughtfully to discussions. When participation is part of the course assessment, it is evaluated based on the quality of contributions rather than their quantity.

Exam Policy

Students are not allowed to bring any materials into exams, except those explicitly authorized by the instructor. Unexcused absences from exams or failure to submit assigned cases will result in a grade of zero when calculating final averages. All exams must be submitted at the end of the examination period.

Communication and Grading

All questions or concerns regarding grading or course policies must follow the official procedures. No direct negotiation with instructors about grades or assessments is permitted.

Be aware of the rules in Université Paris Dauphine about plagiarism and cheating during exams. All work turned in for this course must be your own work, or that of your own group. Working as part of a group implies that you are an active participant and fully contributed to the output produced by that group.

Bibliographie, lectures recommandées :

- Introduction to Compliance management - Cybellium - Independently published of May 2025 (ISBN-13 : 979-8285411208);
- Governance, risk management and compliance Richard M. Steinberg Editor :John Wiley & Sons 2 August 2011 (ISBN-13 : 978-1118024300);
- The Cambridge Handbook of compliance - B Van Rooij & D.Daniel Sokol Editor : Cambridge University Press May 2021 (ISBN : 978-1108477123).

Virginie Srecki, graduated in finance & tax law in Paris Dauphine teaches classes in financial accounting, finance and tax law. Was responsible for Dauphine's campus in Madrid for 5 years. Developed an executive master in compliance in Dauphine-PSL and still manages a certificate (8 days) on fraud risk management.

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